

Flat scalar spectrum
from conformal invariance
and possible signatures

Maxim Libanov, Valery Rubakov

[arXiv:0906.3693](#); [arXiv:1007.4949](#)

ULB December, 17 2010

Outline

- ① Introduction
- ① Getting flat spectrum of field perturbations
- ① Reprocessing field perturbations into adiabatic perturbations
- ① Effects of non-linearity:
 - ✓ Statistical anisotropy
 - ✓ Non-Gaussianity

Introduction

- Primordial scalar perturbations: Gaussian (or nearly Gaussian) random field $\zeta(\vec{x})$
 - $\zeta(\vec{x})$ obeys Wick theorem
 - This suggests the origin: enhanced *vacuum fluctuations of some (almost) free quantum field*
- Flat or nearly flat power spectrum
 - There must be some symmetry behind this property

Candidate theory for origin: inflation

- Symmetry of de Sitter space-time: spatial dilatations supplemented by time translations

$$\vec{x} \rightarrow \lambda \vec{x}, \quad t \rightarrow t - \frac{1}{2H} \log \lambda$$

Another way getting flat spectrum

Conformal plus global symmetry instead of de Sitter symmetry

🕒 Main requirement: long evolution before the hot stage

- ✓ But otherwise insensitive to regime of cosmological evolution: works at inflation, contracting (ekpyrotic) phase, “starting the Universe” scenario, etc.

Model:

Conformal complex scalar field ϕ with negative quartic potential.

$$S = \int \sqrt{-g} \left[g^{\mu\nu} \partial_\mu \phi^* \partial_\nu \phi + \frac{R}{6} |\phi|^2 - (-h^2 |\phi|^4) \right]$$

Conformal symmetry in 4 dimensions. Global symmetry $U(1)$.

[Conformal symmetry broken at large fields. To be discussed later.]

Homogeneous and isotropic Universe,

$$ds^2 = a^2(\eta)[d\eta^2 - d\vec{x}^2]$$

In terms of the field $\chi(\eta, \vec{x}) = a(\eta)\phi(\eta, \vec{x}) = \chi_1 + i\chi_2$,
evolution is Minkowskian,

$$\eta^{\mu\nu}\partial_\mu\partial_\nu\chi - 2h^2|\chi|^2\chi = 0$$

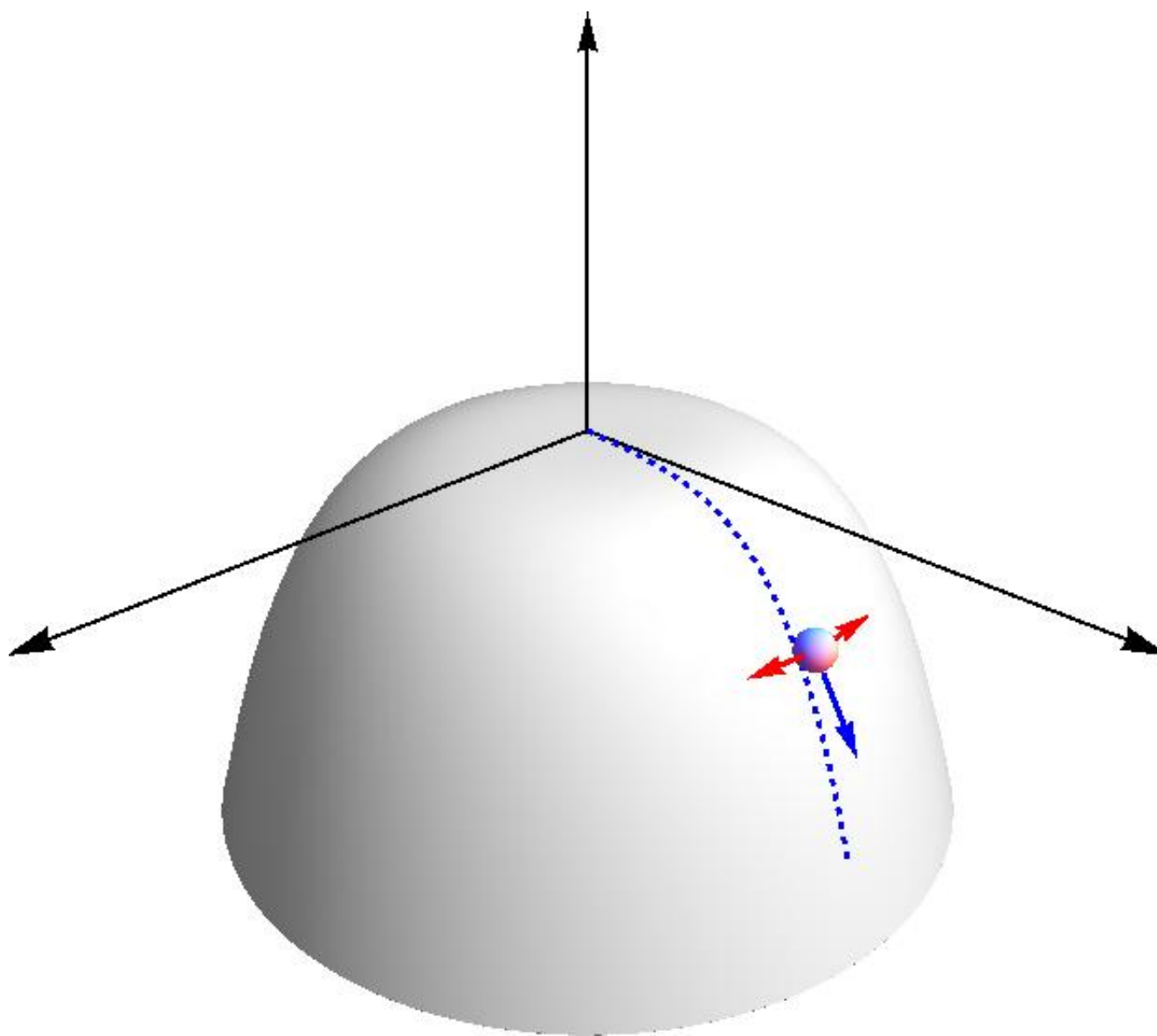
Homogeneous background solution

Attractor (real without loss of generality)

$$\chi_c(\eta) = \frac{1}{h(\eta_* - \eta)}$$

η_* = constant of integration, end time of roll.

\mathcal{NB} : Particular behavior $\chi_c \propto (\eta_* - \eta)^{-1}$
dictated by conformal symmetry.



Fluctuations of $\text{Im } \chi$ automatically have flat spectrum

Linearized equation for fluctuation $\delta\chi_2 \equiv \text{Im}\chi$. Mode of 3-momentum k :

$$\frac{d^2}{d\eta^2}\delta\chi_2 + k^2\delta\chi_2 - 2h^2\chi_c^2\delta\chi_2 = 0$$

[recall $h\chi_c = 1/(\eta_* - \eta)$]

Regimes of evolution:

- Early times, $k \gg 1/(\eta_* - \eta)$, sub-"horizon" regime,
 χ_c negligible, free Minkowskian field

$$\delta\chi_2 = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} e^{-ik\eta} A_{\vec{k}} + \text{h.c.}$$

- Late times, $k \ll 1/(\eta_* - \eta)$, super-“horizon” regime, term with χ_c dominates,

$$\delta\chi_2 = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} \cdot \frac{1}{k(\eta_* - \eta)} \cdot A_{\vec{k}}$$

- ✓ Phase of the field ϕ freezes out:

$$\delta\theta = \frac{\delta\chi_2}{\chi_c} = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} \cdot \frac{h}{k} \cdot A_{\vec{k}}$$

- ✓ Power spectrum of phase is flat:

$$\langle \delta\theta^2 \rangle = \frac{h^2}{2(2\pi)^3} \int \frac{d^3k}{k^3}$$

- ✓ This is automatic consequence of global $U(1)$ and conformal symmetry

- To see this, consider super-“horizon” regime: \vec{k} negligible,
- equation for $\delta\chi_2$ is equation for spatially homogeneous perturbation.

• χ_c is solution to full field equation, $e^{i\alpha}\chi_c$ also \implies

• $\delta\chi = i\alpha\chi_c$ is solution to perturbation equation \implies

$$\delta\chi_2 : e^{-ik\eta} \implies C(k)\chi_c(\eta) = \frac{1}{k(\eta_* - \eta)}$$

\mathcal{NB} : $1/k$ on dimensional grounds.

\mathcal{NB} : In fact, equation for $\delta\chi_2$ is precisely the same as equation for minimally coupled massless scalar field in inflating Universe

Comments:

- 🕒 Mechanism requires long cosmological evolution: need

$$(\eta_* - \eta) \gg 1/k$$

early times, sub-"horizon" regime,
well defined vacuum of the field $\delta\chi_2$.

For $k \sim H_0$ this is precisely the requirement that the horizon problem is solved.

This is probably a pre-requisite for any mechanism that generates density perturbations

- 🕒 Small explicit breaking of conformal invariance \implies tilt of the spectrum
 - ✓ Depends both on the way conformal invariance is broken and on the evolution of scale factor

Outline

- ① Introduction
- ① Getting flat spectrum of field perturbations
- ① Reprocessing field perturbations into adiabatic perturbations
- ① Effects of non-linearity:
 - ✓ Statistical anisotropy
 - ✓ Non-Gaussianity

Reprocessing field perturbations into adiabatic perturbations

- Assume that conformal evolution ends up at some late time. Scalar potential actually has a minimum at large field.

Modulus of the field ϕ freezes out at the minimum of the scalar potential. Assume that energy density of ϕ is negligible at that time (important!).

- This talk: assume that modes of interest are superhorizon also in conventional sense at the end of the rolling stage.

Then the perturbations of phase θ remain frozen.

NB: The opposite case is of interest too, but not yet understood.

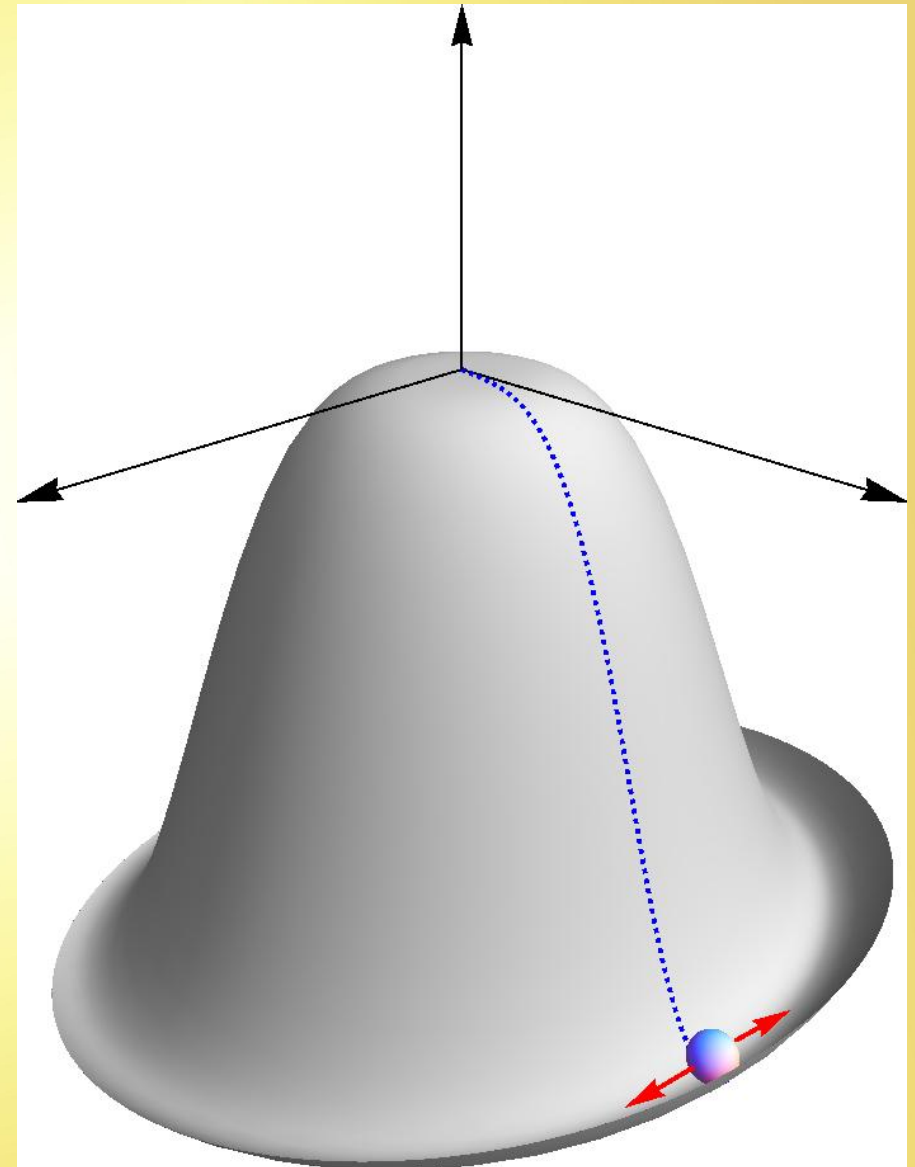
- There are at least two known mechanisms of converting field perturbations into adiabatic perturbations.

(We do not suggest anything new here.)

Option # 1: phase θ as curvaton

- Let the phase θ be *pseudo-Goldstone* field interacting with matter
- Generically, phase θ ends up at a slope of its potential
- If mass of θ is small enough, it does not evolve until $H \sim m_\theta$ at radiation domination
- Then θ rolls down its potential, oscillates near the minimum and in the end delivers its energy to matter particles.

cf. K. Dimopoulos et.al.' 2003



• Perturbations in θ become adiabatic density perturbations,

$$\zeta \simeq \Omega_\theta \frac{\delta\theta}{\theta_0}, \quad \text{flat power spectrum}$$

• Ω_θ : relative energy density of θ at the time its oscillations decay,

• θ_0 : distance to minimum from landing point.

• Without fine tuning

$$\sqrt{\mathcal{P}_\zeta} \sim \Omega_\theta \frac{h}{2\pi^2}$$

✓ $\Omega_\theta \gtrsim 10^{-2}$, otherwise too strong non-Gaussianity \implies

$$\frac{h}{2\pi} \lesssim 10^{-2} \quad \text{for correct scalar amplitude.}$$

Option # 2: phase θ as DGZK field

Dvali, Gruzinov, Zaldarriaga' 03

Kofman' 03;

better known in the context of modulated reheating

Simple version

- Let masses and/or widths of some heavy particles X depend on θ ,

$$M_X = M_0 + c_M \theta \quad \text{and/or} \quad \Gamma_X = \Gamma_0 + c_\Gamma \theta$$

- Let there be intermediate matter dominated epoch, at which these particles are non-relativistic and dominate the expansion
- This epoch begins when $T \sim M_X$ and ends when $\Gamma_X \sim H \implies$ dilution of energy density depends on M_X and $\Gamma_X \implies$ energy density becomes inhomogeneous.

👉 This yields

$$\begin{aligned}\zeta &= a \frac{\delta M_X}{M_X} + b \frac{\delta \Gamma_X}{\Gamma_X} + O \left[\left(\frac{\delta M_X}{M_X} \right)^2, \left(\frac{\delta \Gamma_X}{\Gamma_X} \right)^2 \right] \\ &= ac_M \frac{\delta \theta}{M_X} + bc_\Gamma \frac{\delta \theta}{\Gamma_X}\end{aligned}$$

$$a, b = O(1).$$

Vernizzi' 03:

$$a = -16/3, b = -2/3$$

👉 Hence,

$$\sqrt{\mathcal{P}_\zeta} \sim \left(a \frac{c_M}{M_X} + b \frac{c_\Gamma}{\Gamma_X} \right) \frac{h}{2\pi}$$

Small c_M/M_X and $c_\Gamma/\Gamma_X \iff$ large h (but still $h \ll 1$). Yet $f_{NL} \sim 1$.

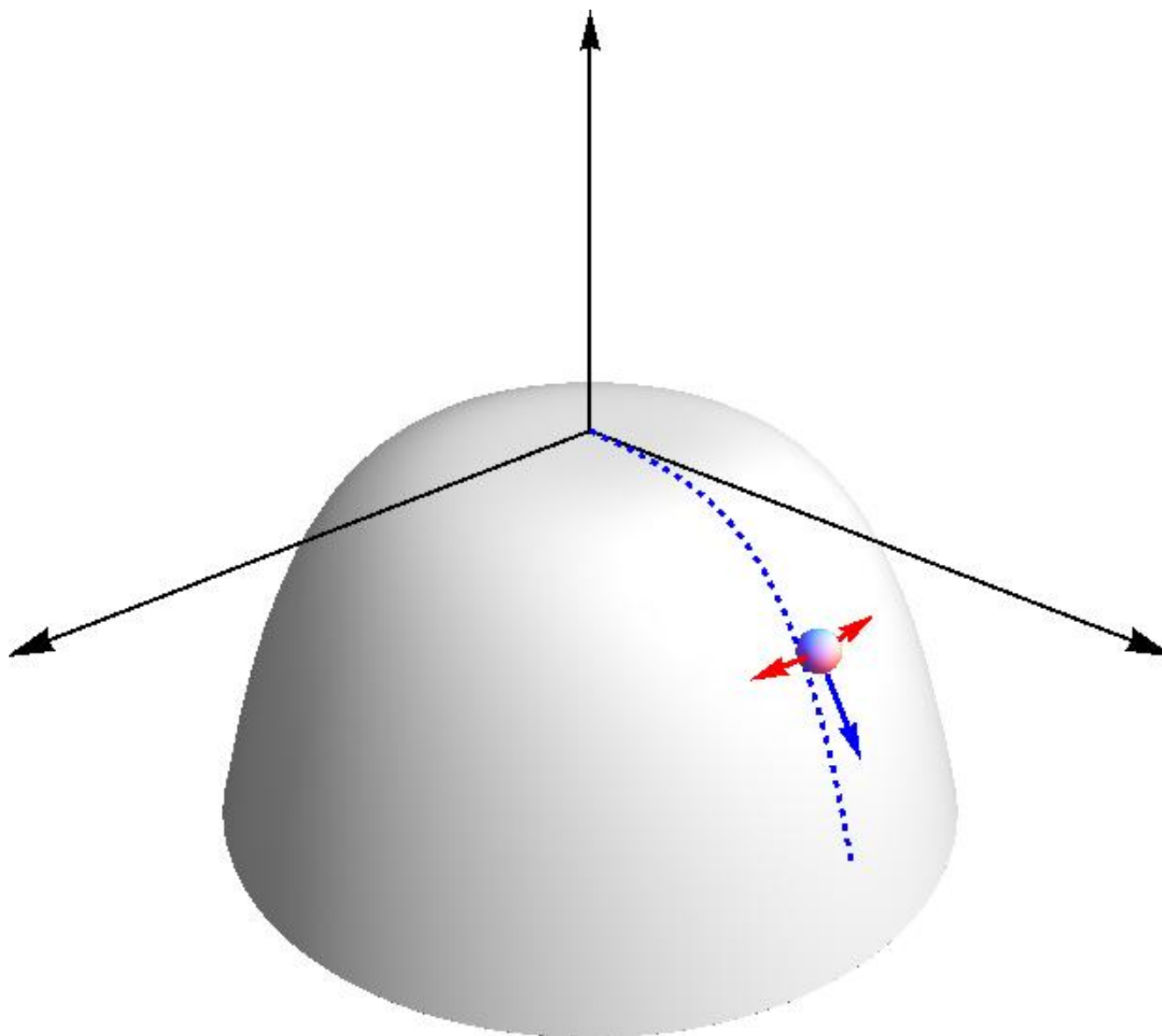
No strong constraints from non-Gaussianity.

NB : In any case, no relationship with tensor perturbations

Outline

- ① Introduction
- ① Getting flat spectrum of field perturbations
- ① Reprocessing field perturbations into adiabatic perturbations
- ① **Effects of non-linearity:**
 - ✓ Statistical anisotropy
 - ✓ Non-Gaussianity

Back to conformal evolution



Peculiarity: perturbations of modulus

- Linear analysis of perturbations of $\chi_1 = \text{Re}\chi$ about the homogeneous real solution χ_c :

$$\frac{d^2}{d\eta^2}\delta\chi_1 + k^2\delta\chi_1 - 6h^2\chi_c^2\delta\chi_1 = 0$$

- Recall $h\chi_c = 1/(\eta_* - \eta)$.

- Again initial condition

$$\delta\chi_1 = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} e^{i\vec{k}\vec{x} - ik\eta} B_{\vec{k}} + \text{h.c.}$$

- But now the solution is

$$\delta\chi_1 = \frac{1}{4\pi} \sqrt{\frac{\eta_* - \eta}{2}} H_{5/2}^{(1)} [k(\eta_* - \eta)] \cdot B_{\vec{k}} + \text{h.c.}$$

🕒 In super-“horizon” regime, $k \ll 1/(\eta_* - \eta)$,

$$\delta\chi_1 = \frac{3}{4\pi^{3/2}} \frac{1}{k^2 \sqrt{k} (\eta_* - \eta)^2}$$

✓ Red spectrum:

$$\langle \delta\chi_1^2 \rangle \propto \int \frac{d^3k}{k^5}$$

✓ Large $\delta\chi_1$ at small $(\eta_* - \eta)$

$$[\text{Recall } \chi_c = 1/[h(\eta_* - \eta)]]$$

🕒 Again by symmetry: now translations of conformal time:

$\chi_c \propto 1/(\eta_* - \eta) \implies$ spatially homogeneous solution to perturbation equation $\delta\chi = \partial_\eta \chi_c$.

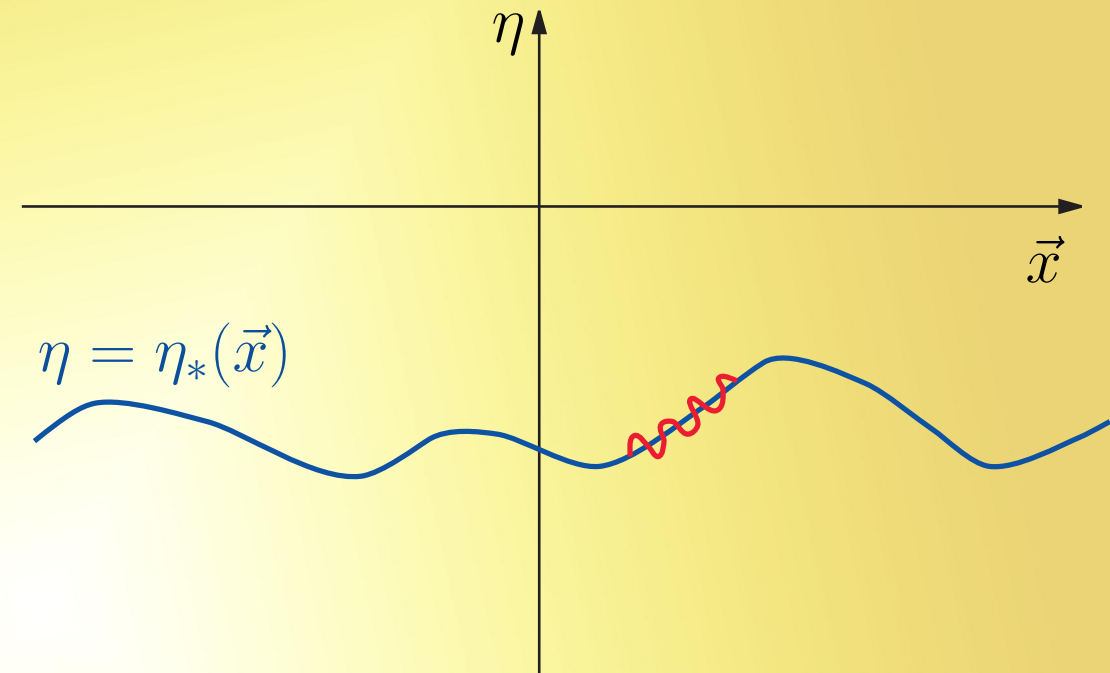
🕒 Interpretation: shift

$$\eta_* \longrightarrow \eta_* + \delta\eta_*(\vec{x})$$

- ✓ Background for perturbations $\delta\chi_2 = \text{Im}\chi$ (in other words, for phase θ) is no longer spatially homogeneous.

- ✓ Red spectrum of $\delta\eta_*(\vec{x})$:

$$\sqrt{\mathcal{P}_{\delta\eta_*}} = \frac{3h}{2\pi k}$$



- 🕒 Have to study perturbations of $\text{Im}\chi$ in *spatially inhomogeneous background*, slowly varying in space,

$$\chi_c = \frac{1}{h(\eta_*(\vec{x}) - \eta)}$$

- Back to equation for perturbations of $\delta\chi_2 = \text{Im}\chi$

$$\frac{d^2}{d\eta^2}\delta\chi_2 - \frac{\partial^2}{\partial\vec{x}^2}\delta\chi_2 - \frac{2}{(\eta_*(\mathbf{x}) - \eta)}\delta\chi_2 = 0$$

- Initial condition as $\eta \rightarrow -\infty$:

$$\delta\chi_2 = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} e^{i\vec{k}\vec{x} - ik\eta} A_{\vec{k}} + \text{h.c.}$$

- $\eta_*(\vec{x})$: long ranged field, derivative expansion appropriate
 - Zeroth order in $\partial_i\eta_*$: local shift of conformal time, $\delta\eta_*(\vec{x})$
 - First order in $\partial_i\eta_*$: local Lorentz boost with $v_i = -\partial_i\eta_*$;
background is locally homogeneous and isotropic in a reference frame other than cosmic frame.

- 🟡 Solution at the first order: time shift and boost of the original solution

$$\delta\chi_2 = \frac{1}{(2\pi)^{3/2}\sqrt{2k}} e^{i\vec{k}(\vec{x}+\vec{v}\eta)-i(k+\vec{k}\vec{v})\eta_*(\vec{x})} f(q(\vec{x}), \eta - \eta_*(\vec{x})) \cdot A_{\vec{k}}$$

$$f(k, \eta) \propto H_{3/2}[q(\eta_* - \eta)]$$

$$\vec{q} = \vec{k} + k\vec{v} = \text{boosted momentum; } v_i(\vec{x}) = -\partial_i\eta_*(\vec{x}).$$

- 🟡 Super-"horizon" regime:

$$\delta\theta = \frac{\delta\chi_2}{\chi_c} = \frac{h}{(2\pi)^{3/2}\sqrt{2kq}} e^{i\vec{k}\vec{x}-ik\eta_*(\vec{x})} \cdot A_{\vec{k}}$$

- 🟡 Modes of $\delta\eta_*$ longer than present cosmological horizon: η_* , \vec{v} are constants throughout our Universe \implies

anisotropy, constant in space vector $v_i = -\partial_i\eta_*$

- ✓ Effect of order \vec{v} cancels out nevertheless (!)

Derivative expansion to the second order: perturbative solution.

Super-"horizon" regime:

$$\delta\theta = \frac{\delta\chi_2}{\chi_c} = \frac{h}{(2\pi)^{3/2}\sqrt{2kq}} e^{ik\vec{x} - ik\eta_*(\vec{x})} \left(1 - \frac{\pi}{2k} \frac{k_i k_j}{k^2} \partial_i \partial_j \eta_* \right) \cdot A_{\vec{k}}$$

Scalar power spectrum

$$\mathcal{P}(\vec{k}) = A_s(k) \left(1 - \frac{\pi}{k} \frac{k_i k_j}{k^2} \partial_i \partial_j \eta_* - \frac{3}{2} \frac{(\vec{k}\vec{v})^2}{k^2} \right)$$

Statistical anisotropy due to **constant in space** tensor $\partial_i \partial_j \eta_*|_{\text{long wavelengths}}$

\implies CMB correlators $\langle a_{l,m} a_{l\pm 2,m}^* \rangle$, etc.

✓ $v_i = -\partial_i \eta_* \propto h$

✓ Quadrupole of general form [unlike usual factorized $(\vec{k}\vec{v}/k)^2$]

✓ Momentum dependence $1/k$

\mathcal{NB} : Power spectrum of $\partial^2\eta_*$ is blue \implies

$$\langle (\pi \partial_i \partial_j \eta_*)^2 \rangle_{\text{long wavelengths}} \simeq \frac{9h^2}{4} \int_0^{H_0} k dk \simeq h^2 H_0^2$$

Statistical anisotropy effect on perturbations of wave vector k is

$$h \frac{H_0}{k}$$

\implies effect on CMB suppressed by $1/l$

✓ Stronger limitations from cosmic variance

\mathcal{NB} : Power spectrum of \vec{v} is flat \implies

$$\langle (\vec{v})^2 \rangle_{\text{long wavelengths}} \simeq h^2 \int_{H_0} \frac{dk}{k} \simeq h^2 \log H_0$$

Scalar power spectrum

$$\mathcal{P}(\vec{k}) = A_s(k) \left(1 + c_1 \cdot h \cdot \frac{H_0}{k} \cdot \frac{k_i k_j}{k k} w_{ij} - c_2 \cdot h^2 (\log H_0)^2 \cdot \left(\frac{\vec{k} \vec{u}}{k} \right)^2 \right)$$

Non-Gaussianity

🔵 Perturbations of phase $\delta\theta$ frozen out at

$$\delta\theta(\vec{x}) = \frac{h}{(2\pi)^{3/2} \sqrt{2k} q(\vec{x})} e^{i\vec{k}\vec{x} - ik\eta_*(\vec{x})} A_{\vec{k}} + \text{h.c.}$$

$\eta_*(\vec{x})$ and $q(\vec{x}) = k - k_i \partial_i \eta_*$ are random fields \implies **Non-Gaussianity of very special kind** \implies non-Gaussianity in adiabatic perturbations: a new random field $\eta_*(\vec{x})$ in exponent.

✓ $\eta_*(\vec{x})$ is long ranged Gaussian field whose correlation function increases with distance,

$$\langle \eta_*(\vec{x}) \eta_*(0) \rangle - \langle \eta_*^2(0) \rangle \equiv -\Delta(\vec{x})$$

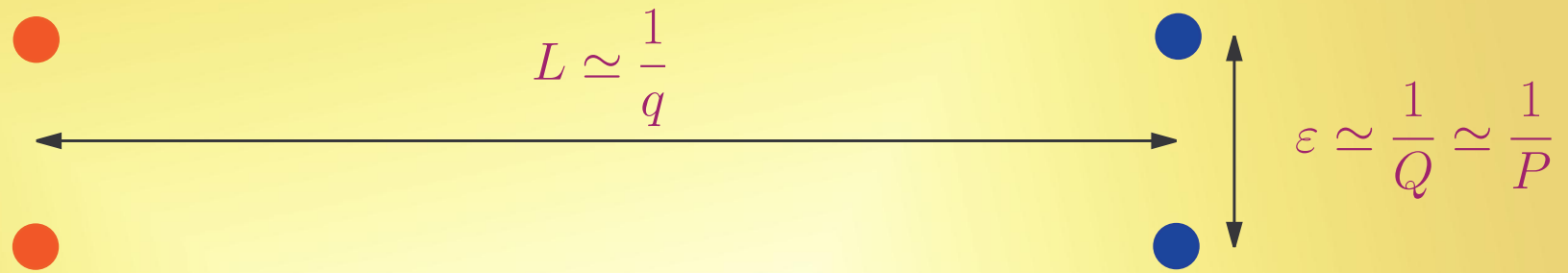
$$\Delta(\vec{x}) = \frac{9}{16\pi^3} h^2 \int_{p \lesssim H_0} \frac{d^3 p}{p^5} (1 - e^{i\vec{p}\vec{x}}) = \frac{3}{8\pi^2} h^2 \vec{x}^2 \log \frac{1}{H_0 |\vec{x}|} > 0$$

Higher correlation functions:

- Odd correlation functions vanish, just like for Gaussian field
- Non-trivial effect on four-point correlation function \implies backreaction of $\delta\theta$ on η_* need to be taken into account \implies
- In-In formalism

$$\langle \mathcal{O} \rangle = \left\langle \left[\bar{T} \exp \left(i \int_{-\infty}^0 dx_0 d^3x \mathcal{H}_I \right) \right] \mathcal{O}_{(I)} \left[T \exp \left(-i \int_{-\infty}^0 dx_0 d^3x \mathcal{H}_I \right) \right] \right\rangle$$

For instance, consider configuration of points:



$$\left\langle \delta\theta \left(\frac{\vec{q}}{2} + \vec{Q} \right) \delta\theta \left(\frac{\vec{q}}{2} - \vec{Q} \right) \delta\theta \left(-\frac{\vec{q}}{2} + \vec{P} \right) \delta\theta \left(-\frac{\vec{q}}{2} - \vec{P} \right) \right\rangle =$$

$$\frac{h^2 \pi^2}{16} \frac{1}{q Q^4 P^4} \left[1 - 3 \left(\frac{\vec{q}\vec{Q}}{qQ} \right)^2 \right] \left[1 - 3 \left(\frac{\vec{q}\vec{P}}{qP} \right)^2 \right] + O(q/Q)$$

- ✓ Non-trivial, direction-dependent correlation between distant pairs of points due to long-range correlations in $\eta_*(\vec{x})$
- ✓ Momentum dependence $1/q$ instead of $1/q^5$

To summarize:

- Flat (or nearly flat) spectrum of scalar perturbations may be consequence of conformal + global symmetry, rather than de Sitter symmetry
- A simple model of this sort: conformally coupled complex scalar field with negative quartic potential
- Evolution of scale factor arbitrary, except that it must be long

- Peculiar property which hopefully has potentially observable consequences: strong fluctuations along roll down direction
- Perturbations of wavelengths exceeding the present horizon: quadrupole statistical anisotropy of a general form
- Perturbations of wavelengths smaller than the present horizon: non-Gaussianity of a special kind.

What if the world started out conformal indeed?