

On Lorentz violation in superluminal theories

Mikhail Kuznetsov

in collaboration with Sergey Sibiryakov

INR RAS & MIPT

Université Libre de Bruxelles, September 2013

- Motivation: modified gravity theories
- A toy model with superluminality
- Instabilities and Lorentz violation
- Pathologies in stress-energy tensor
- Conclusions

Fierz–Pauli linearized massive gravity

$$S = M_{pl}^2 \int d^4x \left[L_{EH}^{(2)}(h_{\mu\nu}) + \frac{\alpha}{4} h_{\mu\nu} h^{\mu\nu} + \frac{\beta}{4} (h^\mu{}_\mu)^2 \right] ; \quad g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

Fierz, Pauli, 1939

Fierz–Pauli linearized massive gravity

$$S = M_{pl}^2 \int d^4x \left[L_{EH}^{(2)}(h_{\mu\nu}) + \frac{\alpha}{4} h_{\mu\nu} h^{\mu\nu} + \frac{\beta}{4} (h^\mu{}_\mu)^2 \right] ; \quad g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

Fierz, Pauli, 1939

- Ghost free only for $\alpha = -\beta$
- van Dam–Veltman–Zakharov discontinuity — GR is not restored as $m \rightarrow 0$

Fierz–Pauli linearized massive gravity

$$S = M_{pl}^2 \int d^4x \left[L_{EH}^{(2)}(h_{\mu\nu}) + \frac{\alpha}{4} h_{\mu\nu} h^{\mu\nu} + \frac{\beta}{4} (h^\mu{}_\mu)^2 \right] ; \quad g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

Fierz, Pauli, 1939

- Ghost free only for $\alpha = -\beta$
- van Dam–Veltman–Zakharov discontinuity — GR is not restored as $m \rightarrow 0$
- Non-linear effects allow to avoid discontinuity at small distances

Vainshtein, 1972

- On non-flat background Boulware–Deser ghost appears

Boulware, Deser, 1972

New covariant non-linear generalization of Fierz–Pauli theory

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[\frac{R}{2} + m_g^2 (\mathcal{L}_2 + \alpha_3 \mathcal{L}_3 + \alpha_4 \mathcal{L}_4) \right] ;$$
$$\mathcal{L}_i = \mathcal{L}_i(\delta_\nu^\mu - \sqrt{g^{\mu\rho} \eta_{ab}} \partial_\rho \phi^a \partial_\nu \phi^b)$$

de Rham, Gabadadze, 2010

de Rham, Gabadadze, Tolley, 2010

New covariant non-linear generalization of Fierz–Pauli theory

$$S = M_{Pl}^2 \int d^4x \sqrt{-g} \left[\frac{R}{2} + m_g^2 (\mathcal{L}_2 + \alpha_3 \mathcal{L}_3 + \alpha_4 \mathcal{L}_4) \right] ;$$
$$\mathcal{L}_i = \mathcal{L}_i(\delta_\nu^\mu - \sqrt{g^{\mu\rho} \eta_{ab} \partial_\rho \phi^a \partial_\nu \phi^b})$$

de Rham, Gabadadze, 2010

de Rham, Gabadadze, Tolley, 2010

- Free of Boulware-Deser ghosts

Hassan, Rosen, 2011

- Provides self-accelerated cosmological solutions, $\Lambda = c(\alpha_i) m_g^2$

Gumrukcuoglu, Lin, Mukohyama, 2011

de Felice et al., 2013

Decoupling limit of new massive gravity: $M_{Pl} \rightarrow \infty$; $m_g \rightarrow 0$; $M_{Pl} m_g^2 = \text{const}$



Galileon theory

$$S = \int d^4x \left[\frac{1}{2} (\partial_\mu \pi)^2 - \partial_\mu^2 \pi (\partial_\mu \pi)^2 + \dots \right] ; \quad \partial_\mu \pi \rightarrow \partial_\mu \pi + c_\mu \quad \text{invariance}$$

Nicolis, Rattazzi, Trincherini, 2009

Decoupling limit of new massive gravity: $M_{Pl} \rightarrow \infty$; $m_g \rightarrow 0$; $M_{Pl} m_g^2 = \text{const}$



Galileon theory

$$S = \int d^4x \left[\frac{1}{2} (\partial_\mu \pi)^2 - \partial_\mu^2 \pi (\partial_\mu \pi)^2 + \dots \right] ; \quad \partial_\mu \pi \rightarrow \partial_\mu \pi + c_\mu \quad \text{invariance}$$

Nicolis, Rattazzi, Trincherini, 2009

Also as generalizations of Dvali–Gabadadze–Porrati model

Decoupling limit of new massive gravity: $M_{Pl} \rightarrow \infty$; $m_g \rightarrow 0$; $M_{Pl} m_g^2 = \text{const}$



Galileon theory

$$S = \int d^4x \left[\frac{1}{2} (\partial_\mu \pi)^2 - \partial_\mu^2 \pi (\partial_\mu \pi)^2 + \dots \right] ; \quad \partial_\mu \pi \rightarrow \partial_\mu \pi + c_\mu \quad \text{invariance}$$

Nicolis, Rattazzi, Trincherini, 2009

Also as generalizations of Dvali–Gabadadze–Porrati model

Features

- Ghost-free
- Late time cosmic acceleration
- Alternative to inflation (Galilean Genesis)

Creminelli, Nicolis, Trincherini, 2010

One and the same feature of all these theories — presence of superluminal modes

- Galileon

Nicolis, Rattazzi, Trincherini, 2009

- Massive Gravity

Burrage et al., 2011

Gruzinov, 2011

de Rham, Gabadadze, Tolley, 2011

Superluminality itself looks not so bad...

One and the same feature of all these theories — presence of superluminal modes

- Galileon

Nicolis, Rattazzi, Trincherini, 2009

- Massive Gravity

Burrage et al., 2011

Gruzinov, 2011

de Rham, Gabadadze, Tolley, 2011

Superluminality itself looks not so bad...



Possible problems with causality



Chronology protection conjecture — there are **no closed timelike curves**.
Proven for some cases.

de Rham et al., 2011

More general problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.

Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

More general problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.

Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

- Superluminality
- Principal possibility to make closed timelike curves
- Problems with Lorentz notion of causality
- Scattering amplitudes do not satisfy S-matrix analyticity axioms

More general problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.
Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

- Superluminality
- Principal possibility to make closed timelike curves
- Problems with Lorentz notion of causality
- Scattering amplitudes do not satisfy S-matrix analyticity axioms



Superluminal effective theories **could not be UV-completed** to local QFT or unitary string theory!

More general problem — UV-completion

Adams et al., 2006

Key moment: number of derivatives in self-coupling should be **greater than 2**.
Take for example Galileon second term.

$$\mathcal{L} = \partial_\mu \pi \partial^\mu \pi + \frac{c}{\Lambda^4} \partial_\mu^2 \pi (\partial_\nu \pi)^2 + \dots ; \quad c < 0$$

- Superluminality
- Principal possibility to make closed timelike curves
- Problems with Lorentz notion of causality
- Scattering amplitudes do not satisfy S-matrix analyticity axioms



Superluminal effective theories **could not be UV-completed** to local QFT or unitary string theory!

What is the **particular mechanism of Lorentz breaking**?

Lets look for a **physical difference** between static and boosted classical solutions.

Toy-model in two dimensions.

$$S = \int d^2x \left[\frac{1}{2}(\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2}\phi^2 - \frac{1}{4\Lambda^2}(\partial_\mu \phi \partial^\mu \phi)^2 + M\delta(x)\phi \right]$$

Toy-model in two dimensions.

$$S = \int d^2x \left[\frac{1}{2}(\partial_\mu \phi \partial^\mu \phi) - \frac{m^2}{2}\phi^2 - \frac{1}{4\Lambda^2}(\partial_\mu \phi \partial^\mu \phi)^2 + M\delta(x)\phi \right]$$

Consider classical perturbations on a background of a static solution. It is enough to consider e.o.m. without non-linear ϕ term.

$$\phi'' - m^2\phi = -M\delta(x) \Rightarrow \tilde{\phi} = \frac{M}{2m} e^{-m|x|}$$

Consider quadratic action for classical perturbations $\phi = \tilde{\phi} + \xi$.

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi - \frac{m^2}{2} \xi^2 \right];$$

$$Z^{\mu\nu} = \begin{pmatrix} 1 + \frac{M^2}{4\Lambda^2} e^{-2m|x|} & 0 \\ 0 & -1 - \frac{3M^2}{4\Lambda^2} e^{-2m|x|} \end{pmatrix}$$

Consider quadratic action for classical perturbations $\phi = \tilde{\phi} + \xi$.

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi - \frac{m^2}{2} \xi^2 \right];$$

$$Z^{\mu\nu} = \begin{pmatrix} 1 + \frac{M^2}{4\Lambda^2} e^{-2m|x|} & 0 \\ 0 & -1 - \frac{3M^2}{4\Lambda^2} e^{-2m|x|} \end{pmatrix}$$

Dispersion relation

$$\omega_\pm = \pm \sqrt{-\frac{Z^{11}}{Z^{00}}} k$$

⇓

$$\left| \frac{d\omega}{dk} \right| > 1$$

Group velocity of the excitations exceeds the speed of light.

Looking for a classical instability

$\det Z^{\mu\nu} < 0 \Rightarrow$ the solution $\tilde{\phi}$ is **classically stable**.

Looking for a classical instability

$\det Z^{\mu\nu} < 0 \Rightarrow$ the solution $\tilde{\phi}$ is **classically stable**.

In a boosted frame ($x \rightarrow \gamma|x + \beta t|$)

$$Z^{\mu\nu} = \begin{pmatrix} 1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3\beta^2 - 1) e^{-2m\gamma|x+\beta t|} & -\frac{M^2}{2\Lambda^2} \gamma^2 \beta e^{-2m\gamma|x+\beta t|} \\ \frac{M^2}{2\Lambda^2} \gamma^2 \beta e^{-2m\gamma|x+\beta t|} & -1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3 - \beta^2) e^{-2m\gamma|x+\beta t|} \end{pmatrix}$$

However, $\det Z^{\mu\nu} = \text{const}$ under Lorentz transformation.



The solution $\tilde{\phi}$ is **classically stable** in any frame.

Looking for a quantum instability

Z^{00} change its sign in a boosted frame \Rightarrow Ghosts appear!

$$Z^{00} = 1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3\beta^2 - 1) e^{-2m\gamma|x+\beta t|} < 0$$



Critical boost factor $\gamma > \frac{\Lambda\sqrt{2}}{M} e^{m\gamma|x+\beta t|}$



The trajectory of $\tilde{\phi}$ should be close to $x = -\beta t$

Looking for a quantum instability

Z^{00} change its sign in a boosted frame \Rightarrow Ghosts appear!

$$Z^{00} = 1 - \frac{M^2}{4\Lambda^2} \gamma^2 (3\beta^2 - 1) e^{-2m\gamma|x+\beta t|} < 0$$



Critical boost factor $\gamma > \frac{\Lambda\sqrt{2}}{M} e^{m\gamma|x+\beta t|}$



The trajectory of $\tilde{\phi}$ should be close to $x = -\beta t$

Looking for UV dynamics we can take $m = 0$ and regard boosted background as a constant

$$S_\xi = \int d^2x \left[\frac{1}{2} Z^{\mu\nu} \partial_\mu \xi \partial_\nu \xi \right]; \quad Z^{\mu\nu} = \begin{pmatrix} Z^{00} & Z^{01} \\ Z^{01} & Z^{11} \end{pmatrix} = \text{const}$$

Looking for evolution of negative energy modes.

Add interaction with matter scalar field χ .

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$$

Looking for evolution of negative energy modes.

Add interaction with matter scalar field χ .

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$$

Triples $\xi \chi \chi$ created from vacuum as $Z^{00} < 0$

Required hierarchy of parameters:

$$m_\chi < m\gamma \ll k \ll \Lambda ;$$

$$m \ll M ; \quad g \frac{M}{m} \ll m_\chi^2$$

Looking for evolution of negative energy modes.

Add interaction with matter scalar field χ .

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$$

Triples $\xi \chi \chi$ created from vacuum as $Z^{00} < 0$

Required hierarchy of parameters:

$$m_\chi < m\gamma \ll k \ll \Lambda ;$$

$$m \ll M ; \quad g \frac{M}{m} \ll m_\chi^2$$

⇓

Estimations for background decay rate and energy loss rate

Integral saturated in IR

$$\frac{dE}{dt} \sim \Gamma \sim \frac{g^2}{2\pi m^2 \gamma^2} \lesssim \frac{m_\chi^4}{\Lambda^2}$$

Looking for evolution of negative energy modes.

Add interaction with matter scalar field χ .

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g}{2} \xi \chi \chi \right]$$

Triples $\xi \chi \chi$ created from vacuum as $Z^{00} < 0$

Required hierarchy of parameters:

$$m_\chi < m\gamma \ll k \ll \Lambda ;$$
$$m \ll M ; \quad g \frac{M}{m} \ll m_\chi^2$$

⇓

Estimations for background decay rate and energy loss rate

Integral saturated in IR

$$\frac{dE}{dt} \sim \Gamma \sim \frac{g^2}{2\pi m^2 \gamma^2} \lesssim \frac{m_\chi^4}{\Lambda^2}$$

Small, but non-zero quantity \Rightarrow Lorentz invariance is violated!

Naive estimation for $4D$

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g'}{2} \xi (\partial_\mu \chi \partial^\mu \chi) \right]$$

This simulates coupling $\frac{g'}{2} \xi^2 \chi^2$ in $4D$ as it have the same dimensionality.

Naive estimation for 4D

$$S_\chi = \int d^2x \left[\frac{1}{2} (\partial_\mu \chi \partial^\mu \chi) - \frac{m_\chi^2}{2} \chi^2 + \frac{g'}{2} \xi (\partial_\mu \chi \partial^\mu \chi) \right]$$

This simulates coupling $\frac{g'}{2} \xi^2 \chi^2$ in 4D as it have the same dimensionality.

Estimation for background decay rate

Integral saturated in UV

$$\Gamma \sim \frac{g'^2}{8\pi} \Lambda^2$$

Estimation for energy loss rate

$$\frac{dE}{dt} \sim \frac{g'^2}{8\pi} \frac{\Lambda^3}{m\gamma}.$$

This can be very high and probably could **destroy the classical solution**.

Expect some features of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

$$\text{Below } \gamma_{critical}, T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi)$$

Expect some features of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

$$\text{Below } \gamma_{critical}, T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi)$$

Looking for **accelerated solution** $\tilde{\phi}$, ($\beta = t/\tau \simeq 1$)

$$Z^{\mu\nu} = \begin{pmatrix} 1 - t^2/\alpha^2 & -t^2/\alpha^2 \\ -t^2/\alpha^2 & -1 - t^2/\alpha^2 \end{pmatrix}; \quad \alpha^2 = \frac{2\Lambda^2(\tau^2 - t^2)}{M^2} = \text{const}$$

Expect some features of $T_{\mu\nu}^{\tilde{\phi}}(\xi)$ as $\gamma \rightarrow \gamma_{critical}$

$$\text{Below } \gamma_{critical}, T_{\mu\nu}^{\tilde{\phi}^{boosted}}(\xi) = T_{\mu\nu}^{\tilde{\phi}^{static}}(\xi)$$

Looking for accelerated solution $\tilde{\phi}$, ($\beta = t/\tau \simeq 1$)

$$Z^{\mu\nu} = \begin{pmatrix} 1 - t^2/\alpha^2 & -t^2/\alpha^2 \\ -t^2/\alpha^2 & -1 - t^2/\alpha^2 \end{pmatrix}; \quad \alpha^2 = \frac{2\Lambda^2(\tau^2 - t^2)}{M^2} = \text{const}$$

After quantization of ξ on this “curved” background we get

$$\langle T_{00} \rangle = -\langle T_{11} \rangle = \frac{\alpha^2}{\alpha^2 - t^2} \int_0^\infty \frac{dkk}{\pi}$$

Indication for pathology as $t \rightarrow \alpha$, although renormalization of $T_{\mu\nu}$ is needed

Work in progress...

- Physical solution in the simple superluminal quantum field theory shows different behavior in static and boosted frames. I.e. **Lorentz invariance is broken**.
 - Theory is pathological and we don't need to consider it.
 - As the theory is non-Lorentzian we need to complete a Lagrangian with other Lorentz-breaking terms.
 - It is a spontaneous breaking of Lorentz invariance, so we need to consider a phase with a broken symmetry.

- Physical solution in the simple superluminal quantum field theory shows different behavior in static and boosted frames. I.e. **Lorentz invariance is broken**.
 - Theory is pathological and we don't need to consider it.
 - As the theory is non-Lorentzian we need to complete a Lagrangian with other Lorentz-breaking terms.
 - It is a spontaneous breaking of Lorentz invariance, so we need to consider a phase with a broken symmetry.
- This analysis seem to be suitable for other theories that admit superluminal propagation on classical backgrounds.

Merci!